



Shasta County

**Regional Transportation
Planning Agency**

1855 Placer Street • Redding, CA 96001 • (530)225-5661 • FAX (530)225-5667
E-Mail shasroad@snowcrest.net • HOME PAGE www.scrtpa.org

Daniel S. Little, Executive Officer

August 3, 2006

RPP 030108

Cathy Gomes
Department of Transportation
Division of Transportation Planning
P.O. Box 942873
Sacramento, CA 94274-0001

Subject: Administrative Amendments to 2004 FTIP

Dear Cathy:

The Shasta County Regional Transportation Planning Agency (RTPA) has prepared the enclosed administrative amendments to the 2004 Federal Transportation Improvement Program (FTIP) and would like your approval to complete the process.

Enclosed please find Resolution 04-05 which adopted the 2004 FTIP and provides for the Executive Officer of the RTPA to process administrative amendments for minor changes in project scope, cost, and schedule without formal Agency action.

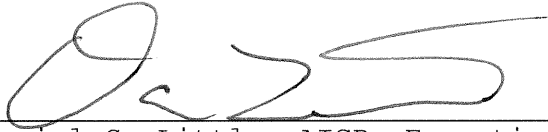
Based upon our review of the amendments we request your approval. Air quality conformity determinations do not apply, and they do not impact financial constraint.

The administrative amendment request for CTIPS Project MPO ID TE Lump, PPNO 2400, CTIPS ID 211-000-0140 adds \$120,000 for PE from RW in the 06/07 period. This request revised the funding amount for a project phase and is consistent with the guidelines of the Administrative Actions item 2 and 6 in the enclosed procedure for processing amendments to the FSTIP.

The administrative amendment request for CTIPS Project MPO ID HES-Lump, CTIPS ID 211-000-0033 adds \$300,000 of local funds for a project. This request is consistent with the guidelines of the Administrative Actions item 2 (less than 20% increase) in the enclosed procedure for processing amendments to the FSTIP.

If you have any questions concerning this request please contact
Thomas Hays, Senior Planner, at 530-225-5112.

Very truly yours,

A handwritten signature in black ink, appearing to read 'DSL', is written over a horizontal line.

Daniel S. Little, AICP, Executive Officer
Shasta County Regional Transportation
Planning Agency (MPO)

DSL/TLH/jac

Enclosures

RESOLUTION NO. 04-05
ADOPTING THE 2004
FEDERAL TRANSPORTATION IMPROVEMENT PROGRAM
FOR SHASTA COUNTY

WHEREAS, Title 23 USC Sec. 134 (H) directs each Metropolitan Planning Organization (MPO) to develop a Federal Transportation Improvement Program (FTIP) every two years and to include a three-year priority list of all federally funded projects;

WHEREAS, the Streets and Highways Code Section 182.6(e) and Section 182.7(d) directs each MPO to submit the updated FTIP to the California Department of Transportation by August 1, on even numbered years; and

WHEREAS, the Federal Transportation Improvement Program (FTIP) is a three-year program of projects in accordance with federal planning regulations; and

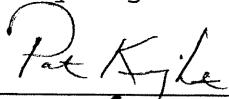
WHEREAS, in order to qualify for certain types of federal funding, projects must be included in a Federal Transportation Improvement Program approved by the Regional Transportation Planning Agency; and

WHEREAS, Shasta County has requested operating assistance under Title 49 USC Sec. 5311(b)(2) totaling \$375,000 over three years. This request has been reviewed and is consistent with the Regional Transportation Plan, and is recommended for inclusion in the Shasta County FTIP; and

WHEREAS, the 2004 FTIP is financially constrained as these requirements apply to Shasta County which is in conformance with Federal air quality standards; and

★ WHEREAS, the 2004 FTIP includes standards to process administrative amendments for minor changes in project scope, cost, and schedule without formal Agency action (Appendix G).

NOW, THEREFORE, BE IT RESOLVED that the 2004 Shasta County Federal Transportation Improvement Program and Administrative Amendment Guidelines are hereby adopted this 27th day of July, 2004, by the Shasta County Regional Transportation Planning Agency.



Pat Kight, Chairman
Shasta County Regional
Transportation Planning Agency

PROCEDURES FOR FEDERAL STATEWIDE TRANSPORTATION PROGRAM (FSTIP) MODIFICATIONS

The following procedures are applicable for processing modifications to the Federal Statewide Transportation Improvement Program (FSTIP). In accordance with the provisions of 23 CFR 450, transportation improvement programs (TIPs) developed by Metropolitan Planning Organizations (MPOs) are incorporated into the FSTIP and as such, these procedures are also applicable to TIP modifications.

In accordance with 23 CFR 450.216(c), projects in any of the first three years of the FSTIP may be moved to any other of the first three years of the FSTIP subject to the project selection requirements of 23 CFR 450.222. Such modifications do not require approval, provided expedited project selection procedures have been adopted in accordance with 23 CFR 450.332 and the required interagency consultation or coordination is accomplished and documented.

1) DEFINITIONS:

A) Administrative Actions or administrative amendments are minor changes to the FSTIP/ TIP that:

1. Revise a project description without changing the project scope or conflicting with the environmental document;
2. Revise the funding amount listed for a project's phases. Additional funding is limited to the **lesser of** 20 percent of the project cost or \$2 million;
3. Change the source of funds;
4. Change a project lead agency;
5. Splits or combines individually listed projects; as long as cost, schedule, and scope remain unchanged;
6. Changes required information for grouped project (lump sum) listings; or,
7. Adding or deleting projects from grouped project (lump sum) listings as long as the funding amounts stay within the guidelines in number two above.

Administrative amendments can be processed in accordance with these procedures provided that:

1. It does not affect the air quality conformity determination, including timely implementation of Transportation Control Measures (TCMs), **and**
2. It does not impact financial constraint.

B) Amendments or formal amendments are all other modifications to FSTIP/TIP that are not Administrative Actions.

2) PROCEDURES:

A) Administrative Actions (Administrative Amendments)

Each MPO-approved administrative action will be forwarded to Caltrans Headquarters, Division of Transportation Programming for approval on behalf of the Governor. The MPO Board may delegate approval of Administrative Actions to the MPO's Executive Director. If the MPO Board delegates approval of Administrative Actions to the Executive Director, the MPO will need to provide copies of the delegation to Caltrans, FHWA, and FTA.

Once approved by Caltrans, on behalf of the Governor, the Administrative Action will be incorporated into California's FSTIP and no Federal action will be required. Caltrans will notify the MPO, FHWA, and FTA of the approved administrative action.

B) Amendments (Formal Amendments)

Amendments to the FSTIP must be developed in accordance with the provisions of 23 CFR 450.326 and/or 23 CFR 450.216, and approved by the Federal agencies in accordance with 23 CFR 450.220. Each approved MPO TIP amendment will be forwarded to Caltrans Headquarters, Division of Transportation Programming for approval on behalf of the Governor. (To expedite processing, the MPO will also forward a copy of the amendment to FHWA and FTA at the same time the amendment is sent to Caltrans) Once approved by Caltrans, on behalf of the Governor, Caltrans will forward the amendment to FHWA and FTA for Federal approval. Once approved by FHWA and FTA the amendment will be incorporated into California's FSTIP. The FHWA and FTA approval will be addressed to Caltrans, with copies to the MPO.

3) DISPUTE RESOLUTION

If a question arises on the interpretation of the definition of an administrative action (amendment), Caltrans, the MPO, FHWA and FTA (the parties) will consult with each other to resolve the question. If after consultation, the parties disagree on the definition of what constitutes an administrative action (amendment), the final decision rests with the FTA for transit projects and FHWA for highway projects.

Shasta County RTPA - Federal Transportation Improvement Program

(Dollars in Thousands)

Local Highway System

DIST: 02	COUNTY: Shasta County	TITLE (DESCRIPTION):	MPO Aprv: / /
ROUTE:	PM:	Lump Sum: Non-Motorized Transportation (Various non-motorized transportation improvements throughout Shasta County on Local and State system, such as bike lanes, sidewalks, landscaping (lump sum))	State Aprv: / /
PPNO: 2400	KP:		Federal Aprv: / /
EA:	MPO ID: TE-LUMP		
CTIPS ID: 111-0000-0140			
IMPLEMENTING AGENCY: Various Agencies		PRJ MGR: Dan Little	EPA TABLE II or III EXEMPT CATEGORY:
		PHONE: (530) 245-6819	Bicycle and pedestrian facilities

PROJECT VERSION HISTORY (Printed Version is Shaded)					Dollars in Thousands - Total For Project								
Version	Status	Official Date	Updated By	Change Reason	Amend No.	Prog Con	Prog RW	PE					
3	Active	07/28/2006	THAYS	Amendment - Cost/Scope/Sch. Change	6	1,960	310	270					
2	Official	02/22/2005	DLITTLE	Amendment - Cost/Scope/Sch. Change		1,960	430	150					
1	Official	07/27/2004	DLITTLE	Adoption - New Project		3,122	430	150					
• RIP - Local Roads					PRIOR	04/05	05/06	06/07	07/08	08/09	09/10	BEYOND	TOTAL
• Fund Source 1 of 4					PE	133	107						240
• Fund Type: STP Enhancement					RW		275						275
• Funding Agency: Shasta County RTPA					CON			1,532					1,532
					TOTAL	133	382	1,532					2,047
• RIP -					PRIOR	04/05	05/06	06/07	07/08	08/09	09/10	BEYOND	TOTAL
• Fund Source 2 of 4					PE	17	13						30
• Fund Type: State Cash					RW		35						35
• Funding Agency: Shasta County RTPA					CON			198					198
					TOTAL	17	48	198					263
• IIP -					PRIOR	04/05	05/06	06/07	07/08	08/09	09/10	BEYOND	TOTAL
• Fund Source 3 of 4					PE								
• Fund Type: STP Enhancement					RW								
• Funding Agency: Caltrans					CON		204						204
					TOTAL		204						204
• IIP -					PRIOR	04/05	05/06	06/07	07/08	08/09	09/10	BEYOND	TOTAL
• Fund Source 4 of 4					PE								
• Fund Type: State Cash					RW								
• Funding Agency:					CON		26						26
					TOTAL		26						26
Project Total					PRIOR	04/05	05/06	06/07	07/08	08/09	09/10	BEYOND	TOTAL
					PE	150	120						270
					RW		310						310
					CON		230	1,730					1,960
					TOTAL	150	660	1,730					2,540

Comments:

***** Version 3 - 07/27/2006 *****

Administrative Amendment, increases PE and reduces RW equal amounts in 06/07 per Caltrans

***** Version 2 - 02/03/2005 *****

Align TE with current STIP/SHOPP TE for financial constraint

***** Version 1 - 06/14/04 *****

Project data transferred from 2004 RTPA 1

Caltrans projects programmed in IIP and SHOPP

Projects are consistent with 40 CFR part 93.126, 127, 128 tables 2 & 3.

Shasta County RTPA - Federal Transportation Improvement Program

(Dollars in Thousands)

Local Highway System

DIST: 02	COUNTY: Shasta County	TITLE (DESCRIPTION):	MPO Aprv: / /
ROUTE:	PM:	Lump Sum: Local Safety Projects (Local air quality exempt safety projects to widen and realign unsafe roadways (lump sum)	State Aprv: / /
PPNO:	KP:)	Federal Aprv: / /
EA:	MPO ID: HES-LUMP		
CTIPS ID: 211-0000-0033			
IMPLEMENTING AGENCY: Various Agencies		PRJ MGR: DAN LITTLE	EPA TABLE II or III EXEMPT CATEGORY:
		PHONE: (530) 245-6819	Non capacity widening or bridge reconstruction

PROJECT VERSION HISTORY (Printed Version is Shaded)

Version	Status	Official Date	Updated By	Change Reason	Amend No.	Dollars in Thousands - Total For Project		
						Prog Con	Prog RW	PE
5	Active	08/14/2006	THAYS	Amendment - Cost/Scope/Sch. Change	7	2,654		
4	Official	02/28/2006	DLITTLE	Amendment - Cost/Scope/Sch. Change		2,354		
3	Official	04/26/2005	DLITTLE	Amendment - Cost/Scope/Sch. Change	3	2,003		
2	Official	02/22/2005	DLITTLE	Amendment - Cost/Scope/Sch. Change	2	1,379		
1	Official	07/27/2004	DLITTLE	Adoption - Carry Over		651		

• Local HES -				PRIOR	04/05	05/06	06/07	07/08	08/09	09/10	BEYOND	TOTAL
• Fund Source 1 of 3				PE								
• Fund Type: STP Safety Local				RW								
• Funding Agency: Various Agencies				CON	1,478	631						2,109
				TOTAL	1,478	631						2,109
• Loc Funds -				PRIOR	04/05	05/06	06/07	07/08	08/09	09/10	BEYOND	TOTAL
• Fund Source 2 of 3				PE								
• Fund Type: Local Transportation Funds				RW								
• Funding Agency: Various Agencies				CON	70	300						370
				TOTAL	70	300						370
• Local TEA -				PRIOR	04/05	05/06	06/07	07/08	08/09	09/10	BEYOND	TOTAL
• Fund Source 3 of 3				PE								
• Fund Type: STP Enhancement - Local TEA				RW								
• Funding Agency: Various Agencies				CON	175							175
				TOTAL	175							175
Project Total				PRIOR	04/05	05/06	06/07	07/08	08/09	09/10	BEYOND	TOTAL
				PE								
				RW								
				CON	1,723	931						2,654
				TOTAL	1,723	931						2,654

Comments:

***** Version 5 - 08/14/2006 *****

Administrative Adjustment to add \$300K of local funding for Bear Mtn Curve realignment in 05/06

***** Version 4 - 02/16/2006 *****

Amendment #5 adds \$360,000 new HES project approved in 05/06 for City of Anderson.

***** Version 3 - 04/09/2005 *****

Increased funding available for projects per CT direction

***** Version 2 - 02/03/2005 *****

Funding added for new project and some funds delayed per CT financial constraint

***** Version 1 - 05/22/2004 *****

Funding levels provided in CT 2/24/04 email

Projects are consistent with 40 CFR part 93.126, 127, 128 exempt tables 2 & 3